

Discrete ambiguities in the measurement of the weak phase γ

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Several methods have been devised for measuring the weak phase γ using decays of the type $B \rightarrow DK$. It is shown that these and other direct CP -violation measurements suffer from discrete ambiguity which is at least 8-fold. Combining two measurement methods helps reduce the ambiguity and the experimental error. The measurement sensitivity and new physics discovery potential are estimated using a full Monte Carlo detector simulation with realistic background estimates, giving particular consideration to ambiguities. [S0556-2821(99)03117-3]

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I. INTRODUCTION

$B \rightarrow DK$ decays, recently observed by CLEO [1], provide several ways to measure the Cabibbo-Kobayashi-Maskawa [2] phase $\gamma = \arg(-V_{ud}V_{ub}^*/V_{cd}V_{cb}^*)$. Since non-standard model effects are expected to be small in such decays, comparing these measurements with experiments which are more sensitive to new physics may be used to test the standard model [3]. Gronau and Wyler (GW) [4] have proposed to measure γ in the interference between the $\bar{b} \rightarrow \bar{c}u\bar{s}$ decay $B^+ \rightarrow \bar{D}^0 K^+$ and the color-suppressed $\bar{b} \rightarrow \bar{u}c\bar{s}$ decay $B^+ \rightarrow D^0 K^+$. Interference occurs when the D is observed as one of the CP eigenstates $D_{1,2}^0 \equiv (1/\sqrt{2})(D^0 \pm \bar{D}^0)$, which are identified by their decay products. The interference amplitude is

$$\sqrt{2} A(B^+ \rightarrow \bar{D}_{1,2}^0 K^+) = \sqrt{B(B^+ \rightarrow D^0 K^+)} e^{i(\delta_B + \gamma)} \pm \sqrt{B(B^+ \rightarrow \bar{D}^0 K^+)}, \quad (1)$$

where δ_B is a CP -conserving phase. The value of γ is extracted from this triangle relation and its CP conjugate, disregarding direct CP violation in D^0 decays [5]. Several variations of the method have been developed [6,7].

In practice, measuring the branching fraction $B(B^+ \rightarrow D^0 K^+)$ requires that the D^0 be identified in a hadronic final state, $f = K^- \pi^+ (n\pi)^0$, since full reconstruction is impossible in semileptonic decays, resulting in unacceptably high background. Atwood, Dunietz and Soni (ADS) [8] pointed out that the decay chain $B^+ \rightarrow D^0 K^+$, $D^0 \rightarrow f$ results in the same final state as $B^+ \rightarrow \bar{D}^0 K^+$, $\bar{D}^0 \rightarrow f$, where the \bar{D}^0 undergoes doubly Cabibbo suppressed decay. Estimating the ratio between the interfering decay chains, one obtains

$$\left| \frac{A(B^+ \rightarrow \bar{D}^0 K^+) A(\bar{D}^0 \rightarrow f)}{A(B^+ \rightarrow D^0 K^+) A(D^0 \rightarrow f)} \right| \approx \left| \frac{V_{cb}^*}{V_{ub}^*} \frac{V_{us}}{V_{cs}} \frac{a_1}{a_2} \right| \sqrt{\frac{B(\bar{D}^0 \rightarrow f)}{B(D^0 \rightarrow f)}} \approx 0.6. \quad (2)$$

The numerical value in Eq. (2) was obtained using $|V_{cb}^*/V_{ub}^*| = 1/0.08$ [9], $|V_{us}/V_{cs}| = 0.22$, $|a_1/a_2| = 1/0.26$ [10], and

$$B(\bar{D}^0 \rightarrow f)/B(D^0 \rightarrow f) = 0.0031, \quad (3)$$

which is the ratio measured for $f = K^- \pi^+$ [11]. Equation (2) implies that sizable interference makes it practically impossible to measure $B(B^+ \rightarrow D^0 K^+)$, and the GW method fails.

ADS proposed to use the interference of Eq. (2) to obtain γ from the decay rate asymmetries in $B^+ \rightarrow f_i K^+$, where f_i , $i=1,2$, are two D final states of the type $K^- \pi^+ (n\pi)^0$. Measuring the four branching fractions, $B(B^+ \rightarrow f_i K^+)$, $B(B^- \rightarrow \bar{f}_i K^-)$, one calculates the four unknowns $B(B^+ \rightarrow D^0 K^+)$, γ , and the two CP -conserving phases associated with the two decay modes, $B(B^+ \rightarrow \bar{D}^0 K^+)$ and the D^0 decay branching fractions will have already been measured to high precision by the time the rare decays $B^+ \rightarrow f K^+$ are observed. In addition to the similar magnitudes of the interfering amplitudes, large CP -conserving phases are known to occur in D decays [12], making large decay rate asymmetries possible in this method.

Jang and Ko (JK) [13] and Gronau and Rosner [14] have developed a γ measurement method similar to the GW method, but in which $B(B^+ \rightarrow D^0 K^+)$ is not measured directly. Rather, it is essentially inferred by using the larger branching fractions of the decays $B^0 \rightarrow D^- K^+$, $B^0 \rightarrow \bar{D}^0 K^0$ and $B^0 \rightarrow D_{1,2} K^0$, solving in principle the problem presented by Eq. (2).

II. DISCRETE AMBIGUITIES

GW recognized that their method has a 4-fold discrete ambiguity in the determination of γ , due to the invariance of the $\cos(\delta_B \pm \gamma)$ terms in the decay widths under the two symmetry operations

$$\begin{aligned} S_{\text{sign}}: \gamma \rightarrow -\gamma, \quad \delta_B \rightarrow -\delta_B \\ S_{\text{exchange}}: \gamma \leftrightarrow \delta_B. \end{aligned} \quad (4)$$

GW noted that application of S_{sign} to γ values within the currently allowed range [15],

$$g \equiv \{40^\circ \lesssim \gamma \lesssim 100^\circ\}, \quad (5)$$

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yields values which are far from g , and can therefore be ruled out within the standard model. We note, however, the existence of a third symmetry,

$$S_\pi : \gamma \rightarrow \gamma + \pi, \delta_B \rightarrow \delta_B - \pi, \quad (6)$$

which doubles the ambiguity to 8-fold. If $\gamma' = S_\pi S_{\text{sign}} \gamma$ and $\gamma \in g$, then γ' may be within or indistinguishably close to g . S_π thus causes the seemingly unphysical S_{sign} ambiguity to have serious implications for the ability to test the standard model using such measurements. These observations apply not only to the $B \rightarrow DK$ measurement methods discussed above, but to all CP -violation experiments in which the measurable widths depend only on trigonometric functions of the sum of a weak phase and a CP -conserving phase. Note that this includes decay rate asymmetries of the form $\sin \delta_B \sin \gamma$. The existence of multiple CP -conserving phases may break the S_π symmetry, but often does not, as in the case of the JK method.

When the magnitude of an amplitude is not known *a priori*, such as $\mathcal{B}(B^+ \rightarrow D^0 K^+)$ in the ADS method, new ambiguities may exist in addition to those of Eqs. (4) and (6), for certain values of the unknowns. This is because the measured branching fractions may be satisfied, or almost satisfied, by several different values of $\mathcal{B}(B^+ \rightarrow D^0 K^+)$ and γ . Such accidental ambiguities may be resolved by using additional decay modes with different CP -conserving phases or by constraints arising from improved theoretical understanding of color suppression in these decays.

III. COMBINING THE ADS AND THE GW METHODS

Since the $\bar{b} \rightarrow \bar{u}c\bar{s}$ amplitude in $B \rightarrow DK$ is very small and hard to detect, several methods will have to be combined in order to make best use of the limited data. Quantitative estimates of the resulting gain in sensitivity are rarely conducted, since they require realistic efficiency and background estimates, and depend on specific phase values. Here we undertake this task for the case of combining the ADS and GW methods (contributions of the JK method are commented on later). In this scheme, one obtains the unknown parameters

$$\xi \equiv \{\mathcal{B}(B^+ \rightarrow D^0 K^+), \gamma, \delta_B, \delta_D\}, \quad (7)$$

where $\delta_D = \arg[A(D^0 \rightarrow f)A(\bar{D}^0 \rightarrow f)^*]$, by minimizing the function

$$\chi^2(\xi) = \left(\frac{a(\xi) - a_m}{\Delta a_m} \right)^2 + \left(\frac{\bar{a}(\xi) - \bar{a}_m}{\Delta \bar{a}_m} \right)^2 + \left(\frac{b(\xi) - b_m}{\Delta b_m} \right)^2 + \left(\frac{\bar{b}(\xi) - \bar{b}_m}{\Delta \bar{b}_m} \right)^2 \quad (8)$$

with respect to the parameters ξ . In Eq. (8) we use the symbols

$$\begin{aligned} a_m &\equiv \mathcal{B}(B^+ \rightarrow f K^+) \\ b_m &\equiv \mathcal{B}(B^+ \rightarrow D_{1,2}^0 K^+) \end{aligned} \quad (9)$$

to denote the experimentally measured decay rates of interest, and

$$\begin{aligned} a(\xi) &\equiv \left| \sqrt{\mathcal{B}(B^+ \rightarrow \bar{D}^0 K^+)} \sqrt{\mathcal{B}(\bar{D}^0 \rightarrow f)} \right. \\ &\quad \left. + \sqrt{\mathcal{B}(B^+ \rightarrow D^0 K^+)} \sqrt{\mathcal{B}(D^0 \rightarrow f)} e^{i(\delta_D + \delta_B + \gamma)} \right|^2 \\ b(\xi) &\equiv \frac{1}{2} \left| \pm \sqrt{\mathcal{B}(B^+ \rightarrow \bar{D}^0 K^+)} \right. \\ &\quad \left. + \sqrt{\mathcal{B}(B^+ \rightarrow D^0 K^+)} e^{i(\delta_B + \gamma)} \right|^2 \end{aligned} \quad (10)$$

to denote the corresponding theoretical quantities. \bar{a}_m , \bar{b}_m , $\bar{a}(\xi)$ and $\bar{b}(\xi)$ are the CP conjugates of a_m , b_m , $a(\xi)$ and $b(\xi)$, respectively. Δx_m represents the experimental error in the measurement of the quantity x_m .

Several gains over the individual methods are immediately apparent: In the ADS method, a D decay mode is “wasted” on measuring the uninteresting CP -conserving phases. By contrast, when combining the methods, knowledge of a_m , b_m , \bar{a}_m and \bar{b}_m in a single mode is in principle enough to determine the four unknowns, ξ , even if $\delta_D = \delta_B = 0$. In practice, adding the $D_{1,2}^0$ modes will decrease the statistical error of the measurement. In both the GW and the ADS methods, the ability to resolve the S_{exchange} ambiguity depends on the degree to which δ_B varies from one B^+ decay mode to the other. Experimental limits on CP -conserving phases in $B \rightarrow D\pi$, $D^*\pi$, $D\rho$ and $D^*\rho$ [16] suggest that δ_B may be small, making the S_{exchange} resolution difficult. When combining the methods, however, we note that $b(\xi)$ and $\bar{b}(\xi)$ are invariant under $\gamma \leftrightarrow \delta_B$, whereas $a(\xi)$ and $\bar{a}(\xi)$ are invariant under $\gamma \leftrightarrow \delta_B + \delta_D$. The S_{exchange} ambiguity is thus resolved in a single B^+ and D decay mode in which δ_D is far enough from 0 or π .

IV. SIGNAL AND BACKGROUND ESTIMATES

We proceed to estimate the sensitivity of the γ measurement combining the ADS and GW methods, at a future, symmetric e^+e^- B -factory, operating at the $\Upsilon(4S)$ resonance. The detector configuration is taken to be similar to that of CLEO-III [17]. The integrated luminosity is 600 fb^{-1} , corresponding to three years of running at the full luminosity of $3 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ [18] with an effective duty factor of 20%.

Crucial to evaluating the measurement sensitivity is a reasonably realistic estimate of the background rate in the measurement of a_m , whose statistical error dominates the γ measurement error, $\Delta\gamma$. We estimated the background by applying reconstruction criteria to Monte Carlo events generated using the full, GEANT-based [19] CLEO-II detector simulation. The event sample consisted of about 19×10^6 $e^+e^- \rightarrow B\bar{B}$ events and 14×10^6 continuum $e^+e^- \rightarrow q\bar{q}$ events, where q stands for a non- b quark. Since the full simulation did not include a silicon vertex detector or Čerenkov particle identification system, these systems were simulated using simple Gaussian smearing. The Čerenkov detector was taken to cover the polar region $|\cos \theta| < 0.71$.

D^0 candidates (reference to the charge conjugate modes is implied) were reconstructed in the final states $K^-\pi^+$, $K^-\pi^+\pi^0$, and $K^-\pi^+\pi^-\pi^+$. The π^0 and D^0 candidate invariant masses were required to be within 2.5 standard de-

viations (σ) of their nominal values. A Dalitz plot cut was applied in the $K^-\pi^+\pi^0$ mode to suppress combinatoric background. The B^+ candidate energy was required to be within 2.5σ of the beam energy. The beam-constrained mass, $\sqrt{E_b^2 - P_B^2}$, where E_b is the beam energy and P_B is the momentum of the B^+ candidate, was required to be within 2.5σ of the nominal B^+ mass. Since the K^+ and the D^0 fly back-to-back, all charged daughters of the B^+ candidate were required to be consistent with originating from the same vertex point. Continuum background was suppressed by applying cuts on the cosine of the angle between the the sphericity axis of the B^+ candidate and that of the rest of the event, and on the output of a Fischer discriminant [2]. In background events, the reconstructed K^+ and K^- come from two different D mesons, or are due to $s\bar{s}$ popping, while signal events often contain a third kaon, originating from the other B meson in the event. As a result, 90% of the background events are rejected by requiring that an additional K^- or K_S be found in the event and be inconsistent with originating from the B^+ candidate vertex.

With the above event selection criteria, we find that continuum events account for over 80% of the remaining background, with a rate of 7 events per 10^8 charged B mesons produced. This is comparable to the expected signal yield. Under such low signal, high background conditions, significant improvement is obtained by conducting a multi-variable maximum likelihood fit. In this technique, cuts on the continuous variables are greatly loosened, and the separation of signal from background is achieved by use of a probability density function, which describes the distribution of the data in these variables. As has been the case in several CLEO analyses of rare B decays, we assume that the effective background level in the likelihood analysis, B , as inferred from the signal statistical error, $\Delta S = \sqrt{S+B}$, will be similar to the level obtained with the Monte Carlo simulation. Signal efficiency will increase, however, due to the looser selection criteria.

The expected number of $B^+ \rightarrow f K^+$ signal events is

$$N_a = N_{B^+} a(\xi) \epsilon(K^+ f), \quad (11)$$

where N_{B^+} is the number of B^+ mesons produced, and $\epsilon(K^+ f)$ is the probability that the final state be detected and pass the loosened selection criteria of the likelihood analysis. For given values of δ_D , δ_B and γ , we calculate $a(\xi)$ using the $D^0 \rightarrow K^-\pi^+$, $K^-\pi^+\pi^0$, $K^-\pi^+\pi^-\pi^+$ branching fractions from [20], Eq. (3), $\mathcal{B}(B^+ \rightarrow \bar{D}^0 K^+) = 2.57 \times 10^{-4}$ [2], and $\mathcal{B}(B^+ \rightarrow D^0 K^+) = 2.3 \times 10^{-6}$ [obtained from $\mathcal{B}(B^+ \rightarrow \bar{D}^0 K^+)$ and the values used in Eq. (2)].

To estimate the efficiency $\epsilon(K^+ f)$, we start with the values in [2], 44% for the $K^-\pi^+$ mode, 17% for the $K^-\pi^+\pi^0$ mode, and 22% for the $K^-\pi^+\pi^-\pi^+$ mode. These are multiplied by the efficiency of finding the third kaon (45%), and the particle-ID efficiency (68%). The particle-ID efficiency is composed of the probability that a well-reconstructed K^+ be in the particle-ID system's fiducial region (83%) and that half the K^- daughters of the D meson also be in the fiducial region. The momentum of the other half allows good identi-

TABLE I. Branching fractions [20] of D^0 decays to CP eigenstates, assumed reconstruction efficiencies, and their products. Efficiencies include sub-mode branching fractions, such as $K_S \rightarrow \pi^+\pi^-$, and are constructed assuming 80% track efficiency and 50% π^0 efficiency.

t	$\mathcal{B}(D^0 \rightarrow t)$	$\epsilon(t)$	$\mathcal{B} \times \epsilon$
$K_S \pi^0$	0.011	0.22	0.0024
$K_S \eta(\rightarrow \gamma\gamma)$	0.0036	0.087	0.0003
$K_S \rho^0$	0.0061	0.28	0.0017
$K_S \omega(\rightarrow \pi^+\pi^-\pi^0)$	0.011	0.13	0.0014
$K_S \eta'(\rightarrow \pi^+\pi^-\eta)$	0.0086	0.062	0.0005
$K_S \eta'(\rightarrow \rho^0\gamma)$	0.0086	0.068	0.0006
$K_S \phi(\rightarrow K^+K^-)$	0.0043	0.14	0.0006
K^+K^-	0.0043	0.64	0.0028
$\pi^+\pi^-$	0.0015	0.64	0.0010
Total			0.011

fication using specific ionization, as does the momentum of the third kaon in most events. An additional efficiency loss of 10% is assumed due to non-Gaussian tails, Čerenkov ring overlaps, etc. The final efficiencies are 13% for the $K^-\pi^+$ mode, 5% for the $K^-\pi^+\pi^0$ mode and 7% for the $K^-\pi^+\pi^-\pi^+$ mode.

Since $b_m \gg a_m$, suppression and accurate knowledge of the background in the measurement of b_m is much less critical. Starting from the continuum background level in [2] and applying vertex and particle-ID criteria, we arrive at a rate of 60 background events per 10^8 charged B mesons. The number of signal events observed in this channel is

$$N_b = N_{B^+} b(\xi) \epsilon(K^+) \sum_i \mathcal{B}(D^0 \rightarrow c_i) \epsilon(c_i), \quad (12)$$

where $\epsilon(K^+)$ is the efficiency for detecting the K^+ with the particle-ID criteria described above, and c_i are CP -eigenstate decay products of $D_{1,2}$. Using Table I, we obtain $\sum_i \mathcal{B}(D^0 \rightarrow c_i) \epsilon(c_i) = 0.011$.

V. MEASUREMENT SENSITIVITY

To estimate the measurement sensitivity for given values of the “true” parameters $\xi = \xi^0$, we compute the average numbers of observed signal events using Eqs. (11) and (12). An integrated luminosity of 600 fb^{-1} yields $N_{B^+} = 640 \times 10^6$. We assume that statistics will effectively triple if, in addition to $B^+ \rightarrow D^0 K^+$, one uses the modes $B^+ \rightarrow D^0 K^{*+}$, $B^+ \rightarrow D^{*0} K^+$, $B^+ \rightarrow D^{*0} K^{*+}$, $\bar{B}^0 \rightarrow D^0 K^{*0}$ and $\bar{B}^0 \rightarrow D^{*0} K^{*0}$. We therefore take $N_{B^+} = 1900 \times 10^6$. The resulting N_a , N_b and their CP conjugates determine the experimental quantities a_m , b_m , \bar{a}_m and \bar{b}_m in the average experiment, i.e., the experiment in which statistical fluctuations vanish. The minimization package MINUIT [21] is then used to find the parameters ξ , for which $\chi^2(\xi)$ is minimal in this

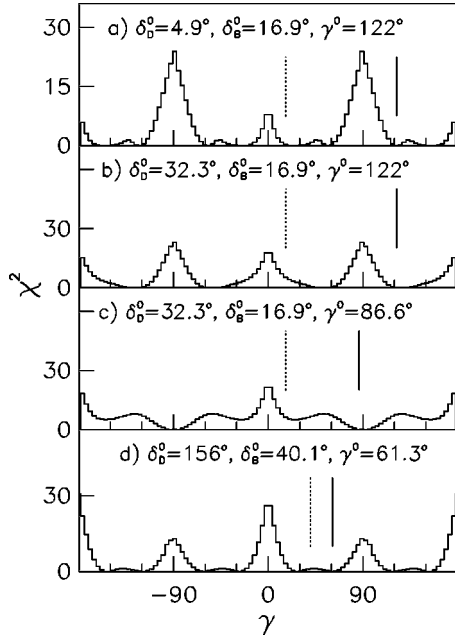


FIG. 1. $\chi^2(\xi)$ as a function of γ for different values of the actual phases, δ_D^0 , δ_B^0 , γ^0 . For each value of γ , $\chi^2(\xi)$ is minimized with respect to $B(B^+ \rightarrow D^0 K^+)$, δ_D and δ_B . The points $\gamma = \gamma^0$ and $\gamma = \delta_B^0$ are shown by a solid and a dotted line, respectively. Some asymmetry and noise are due to the dependence of the fit on the initial ξ values. (a) The 8-fold ambiguity of Eqs. (4) and (6) is demonstrated for small δ_D^0 . (b) Increasing δ_D , the S_{exchange} ambiguity is resolved. (c) With γ close to 90° , the S_π and S_{sign} ambiguities overlap. (d) The S_{exchange} ambiguity is resolved, but an accidental ambiguity shows up at $\gamma \approx 28^\circ$, with $B(B^+ \rightarrow D^0 K^+)$ at approximately $4/3$ its input value.

experiment. Since the measurement is expected to be statistics-limited, only statistical errors are used to evaluate $\chi^2(\xi)$.

To demonstrate ambiguities, the trial value of γ is stepped between -180° and 180° , and δ_D , δ_B and $B(B^+ \rightarrow D^0 K^+)$ are varied by MINUIT so as to minimize $\chi^2(\xi)$. Such γ scans are shown in Fig. 1 for cases of particular interest. Evident from these scans is the fact that a large $\partial^2 \chi^2(\xi) / \partial \gamma^2$ at the true value $\gamma = \gamma^0$ does not guarantee that $\chi^2(\xi)$ will obtain large values before dipping into a nearby ambiguity point. As a result, the quantity that meaningfully represents the measurement sensitivity is not the measurement error $\Delta \gamma$, but f_{exc} , the fraction of g which is excluded by the $B \rightarrow DK$ measurement, i.e., for which $\chi^2(\xi) > 10$. The larger the value of f_{exc} , the greater the *a priori* likelihood that predictions of γ based on new physics-sensitive experiments will be inconsistent with the $B \rightarrow DK$ measurement, leading to the detection of new physics.

To evaluate f_{exc} , 540 Monte Carlo experiments were generated, using randomly selected input values in the range $\gamma^0 \in g$, $-180^\circ < \delta_D^0 < 180^\circ$, $-180^\circ < \delta_B^0 < 180^\circ$ (note that in reality, the CP -conserving phases will be different in the different decay modes). Depending on the input phases, the numbers of observed signal events varied between $700 < N_b < 1050$, $0 < N_a < 130$. For each set of phases, a γ scan was conducted in the range $\gamma \in g$, and f_{exc} was taken to be the

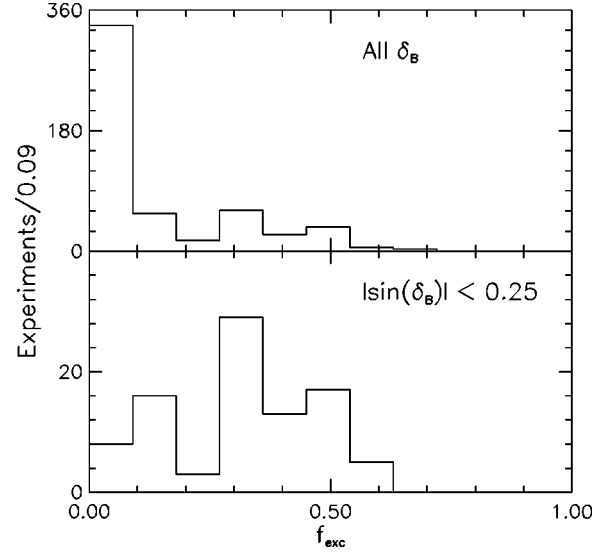


FIG. 2. The f_{exc} distribution of all Monte Carlo experiments conducted and experiments with $|\sin(\delta_B)| < 0.25$.

fraction of the area of the scan for which $\chi^2(\xi) > 10$.

The f_{exc} distribution of the 540 random experiments is shown in Fig. 2. Also shown is the distribution of the 91 experiments for which $|\sin(\delta_B)| < 0.25$. f_{exc} tends to be larger in this case, since small values of $\chi^2(\xi)$ associated with the S_{exchange} ambiguity (even if the ambiguity is resolved) are pushed away from the center of g . Since the distributions of phases used in the Monte Carlo experiments cannot be expected to represent the actual phases in nature, it is not meaningful to study the f_{exc} distribution in detail. Nevertheless, Fig. 2 indicates that this measurement may reduce the allowed region of γ by as much as 60%.

VI. DISCUSSION AND CONCLUSIONS

We have studied in detail the measurement of γ using $B \rightarrow DK$ at a symmetric B factory. Use of this measurement to detect new physics effects is complicated by low statistics and an ambiguity which is at least 8-fold, not 4-fold, as often stated. We show that combining the ADS and GW methods helps resolve the S_{exchange} ambiguity and decreases the statistical error, compared with the ADS method alone. The ambiguities associated with the S_{sign} and S_π symmetries are irremovable in measurements of this kind. Even when the S_{exchange} ambiguity is in principle resolved, in practice it still deteriorates the measurement by reducing $\chi^2(\xi)$ (or other experimental quantity of significance).

Being ambiguity-dominated, the sensitivity of future experiments should be evaluated in terms of the exclusion fraction f_{exc} , rather than the weak phase error $\Delta \gamma$. With a luminosity of 600 fb^{-1} , we find that the $B \rightarrow DK$ measurement can exclude up to about $f_{\text{exc}} \lesssim 0.6$ of the currently allowed range of γ .

With 3×10^8 B mesons, 100% efficiency and no background, JK find $\Delta \gamma$ in their method to be between about 5° and 40° for $40^\circ < \gamma < 100^\circ$. Using more realistic estimates

and comparing with our results, one would conclude that combining their method with the ADS and GW methods, while probably useful for the actual experiment, will not result in a dramatic change in the predictions of our analysis.

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